



## Risk Assessment Method for Local Authority Integrated Pollution Prevention and Control

### Score Sheet

Name of authorised process/installation	Furness Brick and Tile Co.
Name of person with whom sheet discussed	Richard Collinge
Sector Guidance Note	SG7 – Ceramics Sector
Local Authority Reference	PPC/A2/01
Inspector's Name	Geoff Dowker
Date	18 <sup>th</sup> March 2015

### Environmental Impact Appraisal

<b>Component 1 – Inherent Environmental Impact Potential</b>		
<b>APRR Risk Rating Category</b>	<b>Possible Scores</b>	<b>Score Awarded</b>
(A) Category 1	10	10
(B) Category 2	20	
(C) Category 3	30	

<b>Component 2 - Progress with Upgrading</b>		
<b>Status of Upgrading</b>	<b>Possible Scores</b>	<b>Score Awarded</b>
(A) Upgrading not complete but deadline for meeting requirements of upgrading programme from SG note has not yet been reached	5	
(B) Upgrading not yet complete and deadline for meeting requirements of outstanding upgrading programme from SG note has passed	10	
(C) Upgrading complete and meets BAT Requirements	0	0
(D) Emissions control exceeds BAT Requirements	-10	
(E) Improvement programme not submitted within 6 months of the issue of the permit	5	

<b>Component 3 - Sensitivity and Proximity of Receptors (circle appropriate score)</b>			
	<b>Sensitivity of Receptors</b>		
<b>Proximity to Emission Source</b>	<b>(x) High</b>	<b>(y) Med</b>	<b>(z) Low</b>
(A) < 100m*	<del>20</del>	<b>12</b>	<del>5</del>
(B) 100 - 250m*	<del>12</del>	<del>10</del>	<b>3</b>
(C) 250 - 500m*	<del>5</del>	<del>3</del>	<del>1</del>
(D) >500m*	<del>0</del>	<del>0</del>	<del>0</del>

Note: Distances should be measured from the process itself, rather than the site boundary.

<b>Component 4 – Other Targets</b>		
	<b>Possible Scores</b>	<b>Score Awarded</b>
(A) Other air pollution problems in the local area to which process is a potential contributor	10	
(B) No such air pollution problems	0	0
<b>Total for Environmental Impact Appraisal</b>	<b>Range 0 to 70</b>	<b>22</b>

## Operator Performance Appraisal

<b>Component 5 - Compliance Assessment</b>		
<b>Scale of Non-Compliance</b>	<b>Possible Scores</b>	<b>Score Awarded</b>
(A) Incident leading to justified complaint but no breach of specific permit condition or of general/residual BAT condition	0	0
(B) Incident leading to a justified complaint*	5 per incident	0
(C) Breach of authorisation not leading to formal action	10 per incident	0
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per incident	0
(E) Incident leading to a Prohibition Notice	20 per incident	0
<b>Total Score</b>	<b>(Max 50)</b>	<b>0</b>
<b>Where Facility has been on Reduced Charges due to Mothballing or Reduced Operating Levels</b>		
(F) Failure to notify the regulator of restart or increase in level of operation to above the threshold requiring a permit at the installation in accordance with acceptance letter	<b>25</b>	
<b>Total (Applies only where condition F has been breached)</b>	<b>(Max 75)</b>	

\* Unjustified complaints may be e.g. those considered by the inspector to be unreasonable or which cannot be clearly linked to an incident at the process.

<b>Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records</b>				
<b>Criterion</b>	<b>Possible Scores</b>			<b>Score Awarded</b>
	<b>(x) Yes</b>	<b>(y) No</b>	<b>(z) N/A</b>	
(A) All monitoring undertaken to the degree required in the permit?	0	10	0	0
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0
(C) Activity operation modified where any problems indicated by monitoring?	0	5	0	0
(D) Fully documented and adhered to maintenance programme, in line with permit?	0	5	0	0
(E) Full documented records as required in permit available on-site?	0	5	0	0
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0
<b>Total Score</b>	<b>(-5 to 30)</b>			<b>0</b>

<b>Component 7 - Assessment of Management, Training and Responsibility</b>				
<b>Criterion</b>	<b>Possible Scores</b>			<b>Score Awarded</b>
	<b>(x) Yes</b>	<b>(y) No</b>	<b>(z) N/A</b>	
(A) Documented procedures in place for implementing all aspects of the permit?	0	5	0	0
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	0
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0
<b>Total Score</b>	<b>(-5 to 25)</b>			<b>0</b>

<b>Total for Operator Performance Appraisal</b>	<b>Range -10 to 105</b>	<b>0</b>
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<b>Overall Score for the Process</b>	<b>Range -10 to 175</b>	<b>22</b>
<b>Regulatory Effort Category</b> High =>80, med = 40 – 80, low = <40	<b>Low/Med/High</b>	<b>Low</b>